

MEMO# 3490

February 5, 1992

DRAFT LETTER TO NASAA RESPONDING TO REVISED PROPOSED GUIDELINES

February 5, 1992 TO: CONTRACTUAL PLANS COMMITTEE NO. 3-92 RE: DRAFT LETTER TO
NASAA RESPONDING TO REVISED PROPOSED GUIDELINES

Pursuant to the Contractual Plans Committee's February 3, 1992 teleconference, attached is a draft letter responding to the NASAA Investment Company Registration/Trading Practices Committee's proposed guidelines for state regulation of contractual plans. If you have any questions or comments regarding this letter, please contact me at (202) 955-3517 on Monday, February 10, 1992.
Patricia Louie Assistant General Counsel Attachment

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