

**MEMO# 3669**

April 3, 1992

## **SEC STAFF REPORT ON "HUB AND SPOKE" FUNDS REQUESTED**

April 3, 1992 TO: SEC RULES COMMITTEE NO. 19-92 STATE LIAISON COMMITTEE NO. 13-92  
RE: SEC STAFF REPORT ON "HUB AND SPOKE" FUNDS REQUESTED

Representative John D. Dingell, Chairman of the U.S. House Committee on Energy and Commerce has requested that the SEC staff prepare a report on the issues hub and spoke funds raise under the federal securities law and what the SEC is doing to make sure that investors are adequately protected. A copy of Chairman Dingell's letter is attached. We will keep you advised of developments. Patricia Louie Assistant General Counsel Attachment

---

**Source URL:** <https://icinew-stage.ici.org/memo-3669>

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.