

MEMO# 12524

August 28, 2000

OCTOBER 3RD PENSION COMMITTEE MEETING ? PRELIMINARY AGENDA

[12524] August 28, 2000 TO: PENSION COMMITTEE No. 64-00 RE: OCTOBER 3rd PENSION COMMITTEE MEETING — PRELIMINARY AGENDA Attached is a preliminary agenda for the next Pension Committee meeting scheduled for Tuesday, October 3, 2000 at the Institute's offices at 1401 H Street, N.W., 12th floor, Washington, DC. The meeting will be held from 10:00 a.m. to approximately 3:00 p.m.; a continental breakfast and lunch will be served at the meeting. As you were previously informed,¹ the afternoon portion of the meeting will be dedicated to a discussion of the recently enacted Electronic Signatures legislation ("E-SIGN"). Additional materials on E-SIGN will be sent to you prior to the meeting. If you have not yet informed us of your plans to attend, please do so by completing the form below and faxing it to Brenda Turner at 202-326-5839 by Thursday, September 28, 2000. Please review the attached preliminary agenda and forward any questions or comments to Russ Galer at (202) 326-5835, Kathryn Ricard at (202) 218-3563, or Tom Kim at (202) 326-5837. We look forward to seeing you at the meeting. Pat McCauley Chair, Pension Committee Attachment Attendance Response Form for Pension Committee Meeting Tuesday, October 3, 2000 at 10:00 a.m. Please fax this form no later than Thursday, September 28, 2000 to Brenda Turner at (202) 326-5839. YES NO ____ Pension Committee Meeting, 10:00 a.m. - 12:00 p.m. ____ Lunch, 12:00 p.m. - 12:30 p.m. ____ E-SIGN Discussion, 12:30 p.m. - 3:00 p.m.

Committee Member/Alternate Company Phone Number 1 See Institute Memorandum to Pension Committee No. 58-00, dated August 10, 2000. 2Preliminary Agenda Investment Company Institute Pension Committee Meeting October 3, 2000 I. Legislative Issues A. Pension Reform/IRA Expansion Legislation B. ERISA Reform Legislation — Investment Advice and Broader ERISA Reform C. Bankruptcy Legislation D. Hardship Distributions II. Treasury/IRS Issues A. Roth IRA Reporting — Comment Letter B. IRA Excess Contribution Earnings Guidance — Comment Letter C. Section 411(d)(6) Proposed Regulations — Comment Letter D. IRPAC Activities E. IRS Automatic Enrollment Guidance/Initiative F. IRS Guidance on Default Rollovers G. IRS Guidance on Prototype Plans III. Department of Labor Issues A. Investment Advice — Bank of Oklahoma Proposed Exemption, S&P Final Exemption B. Cross Trades — ICI/AIMR/ICAA/SIA Letter submitted to DOL C. 401(k) Fee Disclosure D. Small Employer Audit Proposed Regulation IV. Other A. Recent ERISA Litigation B. State Pension Plan Developments (DB to DC) — Survey of State Legislation C. Retirement Plans Conference — March 28-30, 2001 in Washington, DC V. Implementation of Electronic Signatures Legislation Attachment (in .pdf format)

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