

MEMO# 5529

January 28, 1994

1994 INVESTMENT ADVISER WORKSHOPS

January 28, 1994 TO: COMPLIANCE COMMITTEE NO. 2-94 INVESTMENT ADVISER ASSOCIATE MEMBERS NO. 8-94 INVESTMENT ADVISER MEMBERS NO. 8-94 SEC RULES MEMBERS NO. 4-94 STATE SECURITIES MEMBERS NO. 5-94 RE: 1994 INVESTMENT ADVISER WORKSHOPS

The Investment Company Institute is hosting three one-day investment adviser workshops in San Francisco, New York and Chicago. Amy Lancellotta, Associate Counsel of the Institute, will lead a panel of members including Mary Ann Tynan of Wellington Management and Chairperson of the Institute's Investment Advisers Committee, Robert L. Bunnen, Jr. of Spears Benzak Salomon & Farrell, Susan Newton of John Hancock Advisers, and Keith Rudolf of Stein Roe and Farnham. They will discuss a variety of topics focusing on recent developments in the regulation of advertising and marketing practices, proxy voting, soft dollar arrangements, ERISA regulation and wrap fee programs. In addition, the panelists will discuss compliance issues, state regulation and federal legislative developments. Attendance for each workshop will be limited. Registration for the San Francisco workshop is currently open to Institute members and non-members as space permits. Registration for Chicago and New York is open only to Institute members and associate members until further notice. The workshops will commence at 9:00 am and conclude at approximately 4:00 pm. Dates and locations are as follows: Friday, March 11 Hyatt Regency San Francisco 5 Embarcadero Center San Francisco, CA 94111 Tel: 415/788-1234 \$138 Single/Double Hotel Cut Off Date - February 18 Wednesday, April 13 Hotel Westbury New York 15 East 69th Street (Madison Avenue & 69th Street) New York, NY 10029 Tel: 212/535-2000 \$195 Single/Double Hotel Cut Off Date - March 23 Tuesday, May 10 The Knickerbocker Chicago Hotel 163 E. Walton Place (Walton Place & N. Michigan Avenue) Chicago, IL 60611 Tel: 312/751-8100 \$135 Single/Double Hotel Cut Off Date - April 19 To register, please return the attached registration form with the appropriate fee to the Investment Company Institute. Please make your own hotel reservations by calling the hotel directly. For further registration information, contact Fred Moore at 202/326-5968. Susan S. Burgess Legal Assistant