

MEMO# 27746

December 6, 2013

2014 SEC Rules Committee Meeting Schedule

[27746]

December 6, 2013

TO: SEC RULES COMMITTEE No. 47-13 RE: 2014 SEC RULES COMMITTEE MEETING SCHEDULE

As 2013 draws to a close, I wanted to provide you with the dates for the 2014 SEC Rules Committee meetings and ask that you please reserve them on your calendar.

Like past meetings, we will provide videoconference options for the February and June meetings. The October meeting will be a full day, in-person meeting.

Date: Hosted By: February 18, 2014 (videoconference) * ICI (Washington, DC) [12:00 pm – 3:30 pm]

UBS (Chicago, IL) [11:00 am – 2:30 pm]

Capital Research (Los Angeles, CA) [9:00 am – 12:30 pm]

June 19, 2014 (videoconference) *

ICI (Washington, DC) [12:00 pm – 3:30 pm]

Nuveen (Chicago, IL) [11:00 am – 2:30 pm]

Franklin Templeton (San Mateo, CA) [9:00 am – 12:30 pm] October 29–30, 2014 (in-person)

ICI (Washington, DC) [9:00 am – 3:30 pm]

Note: Committee dinner will be held the evening of Oct. 29th from 6:30 pm – 9:00 pm.

Location to be announced at a later date.

We will circulate a formal meeting notice approximately 5–6 weeks before each meeting. In addition, materials will be emailed to attendees prior to the meeting. Please contact me at (202) 326-5822 (frances@ici.org), or Pat Dickerson at (202) 326-5811 (pdickerson@ici.org) if you have any questions.

Frances M. Stadler
Senior Counsel - Securities Regulation

endnotes

*Lunch will be served before the videoconference in Washington, DC, during the videoconference in Chicago, and after the videoconference in Los Angeles and San Mateo.

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.