

**MEMO# 30005**

June 28, 2016

## **Securities Operations Advisory Committee Meeting - Tuesday, October 11, 2016**

[30005]

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TO: SECURITIES OPERATIONS ADVISORY COMMITTEE RE: SECURITIES OPERATIONS  
ADVISORY COMMITTEE MEETING - TUESDAY, OCTOBER 11, 2016

The next meeting of the ICI's Securities Operations Advisory Committee (SOAC) will take place on Tuesday, October 11, 2016, from 9:00 a.m. to approximately 3:00 p.m. ET at the offices of Oppenheimer Funds located at 2 World Financial Center, 225 Liberty St, New York, NY 10281.

Continental breakfast will be provided before the meeting, lunch will be served at noon and the meeting is expected to continue until approximately 3:00 p.m. Please note that all times are in Eastern Time.

### **Online Registration**

To register for the Securities Operations Advisory Committee, please visit our registration site at [www.ici.org/reg/soac](http://www.ici.org/reg/soac). Please note the only way to register for the SOAC meeting and dinner is through the Cvent link indicated above. If you have questions about the registration, please contact Stefanie Steiner at 202-326-5943 or [Stefanie.steiner@ici.org](mailto:Stefanie.steiner@ici.org).

### **Committee Dinner**

The ICI will host an optional Committee networking dinner for the meeting attendees on Monday, October 10, 2016 at 7:00 p.m. at a venue to be selected. There is a cost of \$105.00 for the dinner, which you will pay at the time that you register for the meeting. Please note that dinner cancellations must be made three business days in advance of the event in order to receive a refund of your payment. Attire for the dinner is business casual.

### **Meeting Materials**

A meeting agenda and materials will be posted to the ICI website prior to the meeting date. An email notifying agenda and material availability will be sent under separate cover. As

always, hard copies of materials will be provided at the meeting.

We look forward to seeing you in New York on October 11, 2016!

Louis Rosato  
Director  
BlackRock, Inc.  
Chair - SOAC Ahmed Elghazaly  
Director - Securities Operations  
Investment Company Institute

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