

**MEMO# 23530**

June 11, 2009

# **July 21st Conference Call Presentation On The FSA'S Approach To Risk Management In The Investment Management Industry**

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TO: RISK MANAGEMENT ADVISORY COMMITTEE No. 6-09  
INTERNATIONAL COMMITTEE No. 7-09 RE: JULY 21ST CONFERENCE CALL PRESENTATION  
ON THE FSA'S APPROACH TO RISK MANAGEMENT IN THE INVESTMENT MANAGEMENT  
INDUSTRY

At the request of ICI's Risk Management Advisory Committee, the Institute has made arrangements for the senior partners of Advantage Capita<sup>\*</sup>

to conduct a presentation via conference call on the approach of the U.K.s' Financial Services Authority (FSA) to risk management in the investment management industry. In particular, the presentation will focus on the FSA's expectations of the industry and what industry is doing in response, particularly in the areas of liquidity, counterparty, and valuation risks. A slide deck will accompany the presentation and ICI members participating in the call will have an opportunity to ask question of the presenters upon completion of their presentation.

This conference call has been set for Tuesday, July 21st from 11:00 am – noon (Eastern). If you are interested in participating in this call, please contact Ezella Wynn no later than July 17th for the call-in information and to obtain a copy of the slide deck when it is available. Ezella can be reached via email at: [ewynn@ici.org](mailto:ewynn@ici.org) or by phone at 202-218-3560.

If you have any questions, please do not hesitate to contact me by phone (202-326-5825) or email ([tamara@ici.org](mailto:tamara@ici.org)).

Tamara K. Salmon  
Senior Associate Counsel

**endnotes**

\* Information about Advantage Capita is available on their website:  
[www.advantagecapita.com](http://www.advantagecapita.com).

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