

MEMO# 33112

February 17, 2021

For Your Review: DRAFT Letter Re: IRS Form SS-4 Responsible Party Requirements

[33112]

February 17, 2021 TO: Tax Committee RE: For Your Review: DRAFT Letter Re: IRS Form SS-4 Responsible Party Requirements

Attached for your review are draft Investment Company Institute recommendations to improve Internal Revenue Service (IRS) Form SS-4, Application for Employer Identification Number (EIN). Specifically, we recommend that a “responsible person” requesting an EIN for a regulated investment company (RIC) or other entity be permitted to provide his or her Preparer Tax Identification Number (PTIN) rather than his or her social security number (SSN).

Please provide any comments to this draft to Katie Sunderland (katie.sunderland@ici.org) by Friday, February 26. This draft will also be discussed on the Committee call on Thursday, February 18.

Katie Sunderland
Assistant General Counsel

[Attachment](#)

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