

MEMO# 32157

January 16, 2020

Registration Open for February 26 SEC Rules Committee Meeting

[32157]

January 16, 2020 TO: SEC Rules Committee RE: Registration Open for February 26 SEC Rules Committee Meeting

The next meeting of the SEC Rules Committee is scheduled for Wednesday, February 26th. We are pleased to announce that our guest speakers will be Sarah ten Siethoff, Associate Director, and Brian Johnson, Assistant Director, in the Rulemaking Office of the SEC's Division of Investment Management.

The meeting will take place at the Investment Company Institute, 1401 H Street, NW, Washington, DC, in the David Silver Conference Room on the 12th Floor, 12:00 noon – 3:30 pm Eastern time. Lunch will be available at 11:30 am. In addition, participation by videoconference will be available from two off-site locations, with lunch being served in both locations:

Capital Research & Management

333 South Hope Street

Los Angeles, CA 90071

9:00 am - 12:30 pm (Pacific)

Nuveen Investments

333 West Wacker Dr.

Chicago, IL 60606

11:00 am - 2:30 pm (Central)

If you plan to attend the February meeting, please visit our registration site at www.ici.org/reg/sec.

Please include on the online form any particular topics you would like to discuss with other members at the meeting. We will circulate an agenda closer to the meeting date.

Committee Dinner

For those members attending the February meeting in person in Washington, DC, we plan to hold a dinner with ICI staff the evening of Tuesday, February 25th. If you plan to attend the dinner, please register to attend via the link above no later than February 18, as seating is available on a first-come first-serve basis. Dinner attendees will be charged \$115.00. Please note that if you cancel within 72 hours of the dinner, your payment will not be refunded.

Hotel Arrangements

For those members attending the meeting in person in Washington, DC, we have, for your convenience, reserved a block of hotel rooms at the Hamilton Hotel for \$199 plus tax. Individual reservations can be made before February 4 by calling 202-218-7536 and mentioning "Investment Company Institute/ICI SEC Rules." We are also glad to provide you with other suggestions for hotel accommodations.

Sarah A. Bessin Associate General Counsel

Joshua Ratner Chairman - SEC Rules Committee

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