

**MEMO# 24630**

October 20, 2010

## **Conference Call to Discuss Draft 12b-1 Comment Letter; Friday, October 29th at 1:00 p.m. (Eastern)**

[24630]

October 20, 2010

TO: BANK, TRUST AND RECORDKEEPER ADVISORY COMMITTEE No. 39-10  
BROKER/DEALER ADVISORY COMMITTEE No. 46-10  
OPERATIONS COMMITTEE No. 32-10  
TRANSFER AGENT ADVISORY COMMITTEE No. 65-10 RE: CONFERENCE CALL TO DISCUSS  
DRAFT 12B-1 COMMENT LETTER; FRIDAY, OCTOBER 29TH AT 1:00 P.M. (EASTERN)

Last week, we circulated a draft comment letter on the SEC's proposal to reform Rule 12b-1. [\[1\]](#) We will have a conference call with members of the four operations committees listed above on Friday, October 29th at 1:00 p.m. (Eastern) to discuss the draft.

This call will be one of a series of calls we are having with particular sets of committees on the draft. The full schedule of member calls is as follows:

Money Market Funds Advisory Committee 11:00 a.m. on October 27th Pension and Pension Operations Committees 2:00 p.m. on October 27th Operations Committee, BDAC, BTRAC and TAAC 1:00 p.m. on October 29th SEC Rules Committee and Small Funds Committee 2:30 p.m. on October 29th

To receive dial-in information for the October 29th call, please email Gwen Kelly at [gwen.kelly@ici.org](mailto:gwen.kelly@ici.org). If you are unable to participate on the call and have comments on the draft letter, please provide them by phone or email no later than Friday, October 29th to me at (202) 371-5430 or [rcg@ici.org](mailto:rcg@ici.org).

Robert C. Grohowski

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Securities Regulation - Investment Companies

**endnotes**

[1] See [Memorandum](#) No. 24613, dated October 14, 2010.

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