

MEMO# 23941

November 9, 2009

SEC Opens Registration for National CCOUTREACH Program that Will be Held in Washington, D.C. on January 26th

[23941]

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TO: COMPLIANCE MEMBERS No. 49-09
BROKER/DEALER ADVISORY COMMITTEE No. 61-09
INVESTMENT ADVISER MEMBERS No. 22-09 RE: SEC OPENS REGISTRATION FOR
NATIONAL CCOUTREACH PROGRAM THAT WILL BE HELD IN WASHINGTON, D.C. ON JANUARY
26TH

The SEC has scheduled its next CCO Outreach Program for Tuesday, January 26th in Washington, DC. While the Commission announced this date in October, registration for it did not open until today. Members interested in attending the program in person are encouraged to register as soon as practicable because space will be more limited for this year's program than in previous years. This is because, unlike in previous years, in addition to addressing issues relevant to mutual funds and investment advisers, the program will also address issues of interest to chief compliance officers of broker-dealers. The program's agenda and registration information are available on the SEC's website at: <http://www.sec.gov/info/cco/ccons2010.htm>. For those not attending in person, the program will also be broadcast live via the SEC's website.

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