

MEMO# 23709

August 14, 2009

Call On The FSA'S Approach To Risk Management In The Investment Management Industry Rescheduled For Sept. 10th

[23709]

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TO: RISK MANAGEMENT ADVISORY COMMITTEE No. 8-09
INTERNATIONAL COMMITTEE No. 13-09 RE: CALL ON THE FSA'S APPROACH TO RISK
MANAGEMENT IN THE INVESTMENT MANAGEMENT INDUSTRY RESCHEDULED FOR SEPT.
10TH

As you may recall, last month the ICI, at the request of our Risk Management Advisory Committee, made arrangements for the senior partners of Advantage Capita* to conduct a presentation via conference call on the approach of the U.K.'s Financial Services Authority (FSA) to risk management in the investment management industry. Unfortunately, due to the illness of one of the presenters, this call had to be cancelled the day before it was scheduled.

Our presenters are now all healthy and the call has been rescheduled for Thursday, September 10th from 11:00 am – noon (Eastern). If you are interested in participating in this call, please contact Ezella Wynn no later than Thursday, September 3rd (prior to the Labor Day weekend) to obtain the call-in information and a copy of the slide deck that will accompany the presentation when it is available. Ezella can be reached via email at: Ezella.Wynn@ici.org or by phone at 202-218-3560.

The presentation by Advantage Capita will focus on the FSA's expectations of the investment management industry and what the industry is doing in response, particularly in the areas of liquidity, counterparty, and valuation risks. A slide deck will accompany the presentation and ICI members participating in the call will have ample opportunity to ask questions of the presenters.

If you have any questions, please do not hesitate to contact me by phone (202-326-5825) or email (tamara@ici.org).

Tamara K. Salmon
Senior Associate Counsel

endnotes

* Information about Advantage Capita is available on their website:
www.avantagecapita.com.

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