

MEMO# 28310

August 13, 2014

In-Person Meeting for AML Compliance Working Group - Wednesday, September 10, 2014

[28310]

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TO: AML COMPLIANCE WORKING GROUP No. 4-14 RE: IN-PERSON MEETING FOR AML COMPLIANCE WORKING GROUP - WEDNESDAY, SEPTEMBER 10, 2014

The Investment Company Institute will host an in-person meeting of the AML Compliance Working Group on Wednesday, September 10, 2014 from 10:00 AM to 3:00 PM in Washington, D.C. The meeting will take place at the ICI, 1401 H Street N.W., Washington, D.C. 20005. Lunch will be served. An agenda will be sent prior to the meeting.

We will focus on FinCEN's proposed customer due diligence (CDD) ([ICI CDD Memo](#)) and the Institute's comment letter as well as other issues of interest to members. Comments on the proposed CDD rules are due October 3. We welcome your recommendations for topics that you would be interested in addressing during the meeting. For example, we know several members have been interested in the 314(b) information sharing process. We hope that you can join us.

Please register for the meeting online via Cvent at www.ici.org/reg/compliance as soon as possible. If you have questions about registration, please contact Ruth Tadesse at 202/326-5836 or rtadesse@ici.org. If you have any other questions or suggestions for the meeting, please feel free to contact me (202-326-5813 or solson@ici.org) or Eva Mykolenko (202-326-5837 or emykolenko@ici.org).

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