

MEMO# 26963

February 1, 2013

Schedule of ICI CCO Committee Calls and Meetings for 2013

[26963]

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 3-13 RE: SCHEDULE OF ICI CCO COMMITTEE CALLS AND MEETINGS FOR 2013

Before we get too far into 2013, I wanted to let you know the dates of the quarterly calls of ICI's CCO Committee, as well as the dates for our in-person Committee meetings this year. I hope you will go ahead and block these events on your calendar so you can plan to participate in them!

Quarterly Committee Calls

The quarterly calls of the Committee will be held on each of the following Thursdays:

March 7th from 2-3:30 p.m. (Eastern)

June 27th from 1-2:30 (Eastern)

September 12th from 2-3:30 (Eastern)

As with our previous calls, members of the Committee will receive an email from me a couple of weeks in advance of the call reminding them of the upcoming call and soliciting issues they would like to discuss during the call, as well as any regulatory updates they would like to receive during the call.

In-Person Committee Meetings

The Committee will hold two in-person meetings this year, each of which will be held in Washington, D.C. in conjunction with an ICI conference.; As discussed below, these meetings will be held on May 1st and December 12th.

The first meeting will be on Wednesday, May 1st from noon-2:30 in conjunction with the ICI's Compliance Programs Conference. Notice regarding this meeting, including the form to complete to register to attend it, has already been sent out. [\[*\]](#)

The second meeting will be held in conjunction with the ICI's 2013 Securities Developments Conference, which is being held in Washington, D.C. on Wednesday, December 12th. The CCO Committee will meet the day after this conference, on Thursday, Dec. 12th from 9:30-2:00 p.m. at ICI's office. Due to limited space, attendance will be on a first-come, first-serve basis. We will also plan, again, to hold an informal Committee dinner the evening of Wednesday, December 12th. If you are interested in attending the meeting, please register as soon as possible once notice of it goes out (which should be in early November).

If you have any questions about the calls, meetings, or the schedule for 2013, please let me know! You can reach me by phone (202-326-5825) or email (tamara@ici.org).

Tamara K. Salmon
Senior Associate Counsel

endnotes

[*] See ICI Memorandum No. [26945](#), dated January 25, 2012, as well as the email from Tami Salmon to members of the Committee, which was sent on Monday, January 28th at 5:07 p.m. (Eastern) regarding the Compliance Conference and the CCO Committee meeting date. This email included as an attachment Memorandum No. 26945.

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