

MEMO# 27259

May 30, 2013

Draft Agenda for June 13 SEC Rules Committee Meeting

[27259]

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TO: SEC RULES COMMITTEE No. 23-13 RE: DRAFT AGENDA FOR JUNE 13 SEC RULES COMMITTEE MEETING

As we previously informed you, the next meeting of the SEC Rules Committee has been scheduled for Thursday, June 13th. Our guest speaker will be Barry Miller, Associate Director, Disclosure, Review and Accounting, Division of Investment Management, U.S. Securities and Exchange Commission, along with Assistant Directors Brent Fields and Christian Sandoe.

The meeting will take place at the Investment Company Institute, 1401 H Street, N.W., Washington, D.C., in the David Silver Conference Room on the 12th Floor, from 12:00 noon – 3:30 pm Eastern time. Lunch will be available at 11:30 am. In addition, participation by videoconference will be available from two locations:

Franklin Templeton Nuveen Investments Building 920/2
San Mateo, CA 94403
9:00 am – 12:30 pm (Pacific) 333 W. Wacker Drive, 33rd Floor
Chicago, IL 60606
29th Floor Lake Conference Room
11:00 am – 2:30 pm (Central)
Lunch will be served following the meeting in San Mateo, and during the meeting in Chicago.

Attached is a draft agenda for the meeting. A pdf version of materials related to the agenda topics will be sent to those who have registered for the meeting and others upon request. Please note that we no longer provide hard copies of the materials at the Committee's meetings. If you plan to attend the meeting but have not registered yet, please visit our registration site at www.ici.org/reg/sec. If you are unable to attend the meeting and would like to receive the materials please contact Pat Dickerson at pdickerson@ici.org.

We look forward to seeing you on June 13th.

Frances M. Stadler
Senior Counsel - Securities Regulation

[Attachment](#)

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