

MEMO# 30227

September 9, 2016

ICI Global Seminar on the Opening-Up of China's Fund Industry

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TO: ICI GLOBAL REGULATED FUNDS COMMITTEE No. 62-16
INTERNATIONAL COMMITTEE No. 47-16
INTERNATIONAL OPERATIONS WORKING GROUP
INTERNATIONAL OPERATIONS ADVISORY COMMITTEE No. 24-16 RE: ICI GLOBAL SEMINAR
ON THE OPENING-UP OF CHINA'S FUND INDUSTRY

Recently, China has announced several reforms aimed at easing foreign investor access to its financial market. These reforms include new guidelines on wholly foreign-owned enterprises (WFOEs) and Sino-foreign joint ventures (JVs) for private fund management (PFM) business, and the approval of the Shenzhen-Hong Kong Stock Connect.

To help ICI Global members to understand the impact and additional opportunities of these changes for their asset management strategy in China, ICI Global has invited Ms. Sandra Lu, Partner of Llinks Law Offices, to provide an overview of the rules and regulations at a seminar on Monday 19 September. During the seminar, Ms. Lu will provide an update on the following reforms:

- WFOE PFM business;
- QDLP/QDIE WFOE practice;
- Foreign ownership restrictions for mutual fund management company JVs;
- Stock connect between Mainland China and HK, including ETF inclusion;
- Mainland-China Mutual Recognition.

The topics discussed during the seminar should support global fund managers in navigating their business strategy for China.

The details of the seminar below:

Title:

Date:

Time:

Venue:

Dial-in numbers
(for overseas members)

Speaker: Latest Opening-up of China Funds Industry

Monday, 19 September 2016

4:30 – 5:30 pm Hong Kong Time
9:30 – 10:30 am British Summer Time
10.30 – 11.30 am Central European Summer Time

ICI Global (HK) office
7/F, Two Exchange Square, 8 Connaught Road
Central, Hong Kong

To be sent to all participants prior to the conference call

Ms. Sandra Lu, Partner, Llinks Law Offices (see annex for biography)
Ms. Lu's presentation will be followed by Q&A.

Kindly send your RSVP to Irene Leung at irene.leung@iciglobal.org or on +852 2168 0883 stating your name, business title, contact email address and country location (to enable us to assign the correct dial-in number). The deadline for registration is Tuesday 13 September.

Note: This call is for members only. No media will be allowed to attend the call.

We look forward to your participation in the seminar.

Qiumei Sophie Yang
CEO, ICI Global Asia Pacific

[Attachment](#)