

MEMO# 31157

April 10, 2018

SEC Rules Committee Meeting on June 12; Dinner on June 11 for Members Attending in Person - Your Response Requested

[31157]

April 10, 2018 TO: SEC Rules Committee RE: SEC Rules Committee Meeting on June 12; Dinner on June 11 for Members Attending in Person - Your Response Requested

June SEC Rules Committee Meeting

As you know, the next meeting of ICI's SEC Rules Committee will take place on **Tuesday, June 12th**. We are pleased to announce that our guest speaker will be **Dan Kahl, Chief Counsel of the SEC's Office of Compliance Inspections and Examinations**. The meeting will take place at the Investment Company Institute, 1401 H Street, NW, Washington, DC, in the David Silver Conference Room on the 12th Floor, 12:00 noon - 3:30 pm Eastern time. Lunch will be available beginning at 11:30 am.

For those members attending the meeting in person in Washington, DC, we plan to hold a dinner with ICI staff the evening of Monday, June 11th. **If you plan to attend the dinner, please register to attend via the link below no later than June 4th, as seating is available on a first-come first-serve basis.** Dinner attendees will be charged \$115. Please note that if you cancel within 72 hours of the dinner, your payment will not be refunded.

In addition, participation in the June 12th meeting by videoconference will be available from two off-site locations, with lunch being served in both locations:

Franklin Templeton Investments
One Franklin Parkway
Building 920, 1st floor
San Mateo, CA 94403
9:00 am - 12:30 pm (Pacific)

Nuveen Investments
333 West Wacker Drive
29th Floor, Lake Conference Room

Chicago, IL 60606
11:00 am - 2:30 pm (Central)

We will provide you with more details about the meeting, and a draft agenda, closer to the date. **If you plan to attend the meeting, please register by visiting our registration site at www.ici.org/reg/sec.** Please include on the online form any particular topics you would like to discuss with other members at the meeting. If you are not attending the meeting and would like to receive the materials, please contact Nadia Ishmael at nadia.ishmael@ici.org.

Remaining SEC Rules Committee Meeting for 2018

Please also mark your calendars for our final SEC Rules Committee meeting for 2018, which will take place on **Thursday, October 18th**. This is an **in-person meeting** to be held at the offices of the Investment Company Institute, 1401 H Street, NW, 12th Floor, Washington, DC, in the David Silver Conference Room. As with past fall meetings, we are planning a full-day program beginning at 9:00 am and ending by 3:30 pm, with breakfast and lunch being served. A cocktail reception and dinner will be held the evening of Wednesday, October 17th. We will provide you with more details about the meeting and dinner closer to the date.

We look forward to seeing you in June!

Darrell Braman
Chairman - SEC Rules Committee

Sarah A. Bessin
Associate General Counsel

Source URL: <https://icinew-stage.ici.org/memo-31157>

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.