

MEMO# 30304

October 12, 2016

ESMA Guidelines on Transaction Reporting, Order Record Keeping and Clock Synchronisation under MiFID II

[30304]

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TO: ICI GLOBAL EXCHANGE TRADED FUNDS COMMITTEE No. 9-16
ICI GLOBAL REGULATED FUNDS COMMITTEE No. 70-16
ICI GLOBAL TRADING & MARKETS COMMITTEE No. 50-16
INTERNATIONAL COMMITTEE No. 56-16 RE: ESMA GUIDELINES ON TRANSACTION REPORTING, ORDER RECORD KEEPING AND CLOCK SYNCHRONISATION UNDER MIFID II

On 10 October 2016, the European Securities and Markets Authority (ESMA) published guidelines on transaction reporting, order record keeping and clock synchronisation under MiFID II.^[1] The guidelines follow ESMA's earlier consultation^[2] on which ESMA has published a final report^[3] which sets out the feedback it received.

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endnotes

^[1] ESMA Guidelines: Transaction reporting, order record keeping and clock synchronisation under MIFID II, 10 October 2016, available from https://www.esma.europa.eu/sites/default/files/library/2016-1452_guidelines_mifid_ii_transaction_reporting.pdf

^[2] Consultation Paper on Guidelines on transaction reporting, reference data, order record keeping & clock synchronisation (ESMA/2015/1909), available from https://www.esma.europa.eu/system/files_force/library/2015-1909_guidelines_on_transaction_reporting_reference_data_order_record_keeping_and_clock_synchronisation.pdf

^[3] Final Report, Guidelines on transaction reporting, order record keeping and clock synchronisation under MiFID II, 10 October 2016, available from https://www.esma.europa.eu/sites/default/files/library/2016-1451_final_report_on_guidelines

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