

MEMO# 31998

October 7, 2019

Registration Open for Nov. 21 Joint CRO and Internal Audit Meeting and Joint Dinner and Nov. 22 Internal Audit Committee Meeting in Washington, DC

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October 7, 2019 TO: Internal Audit Committee RE: Registration Open for Nov. 21 Joint CRO and Internal Audit Meeting and Joint Dinner and Nov. 22 Internal Audit Committee Meeting in Washington, DC

The fall joint meeting of ICI's Internal Audit and Chief Risk Officer Committees will be held on Thursday, November 21 from 2:45 to 5:00 p.m. [Eastern] and will be followed by a joint dinner of the two committees. ICI's Internal Audit Committee will meet on Friday, November 22 from 9:00 a.m. to 3:00 p.m. More information about the speakers we are expecting for these meetings is below.

Registration Required by NOVEMBER 14

Members planning to attend one or both meetings or the Committee dinner must register for them using this link: www.ici.org/reg/iac. While the meetings are free, there is a charge of \$115 for the committee dinner, which must be paid at the time of registration. Also, at the time of registration, please indicate any items you would like added for discussion at the meetings.

Guest Speakers

I am pleased to let you know that we have lined up guest speakers for both the Internal Audit meeting and the joint meeting. For the Internal Audit meeting, we have lined up FBI Special Agent Tim Ervin who will discuss the prevalence of business email compromises and other frauds currently being perpetrated and how members can connect with the FBI on such issues. We are also expecting a member-led discussion of agile auditing implementation in our industry. For the joint meeting, we have lined up Adam Schneider of Oliver Wyman, who will discuss what the transition away from LIBOR means for our industry and what we should be thinking about and focusing on in anticipation.

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I hope you'll make plans to join us for these meetings! If you have any questions about or

suggestions for these events, or if I can be of any assistance to you, please let me know.

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Associate General Counsel

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