

MEMO# 30590

February 21, 2017

ICI Resource Page on Swing Pricing Amendments Now Available to Members

[30590] February 21, 2017 TO: ICI Members

Investment Company Directors

ICI Global Members

Accounting/Treasurers Committee

Bank, Trust and Retirement Advisory Committee

Broker/Dealer Advisory Committee

Chief Compliance Officer Committee

Chief Risk Officer Committee

Investment Advisers Committee

Operations Committee

SEC Rules Committee

Small Funds Committee

Transfer Agent Advisory Committee

Variable Insurance Products Advisory Committee SUBJECTS: Compliance

Disclosure

Fund Accounting & Financial Reporting

Operations

Transfer Agency

Valuation RE: ICI Resource Page on Swing Pricing Amendments Now Available to Members

As part of our 2017 initiatives, ICI has launched a dedicated resource page to help members understand the SEC's swing pricing amendments.[\[1\]](#) The resource page contains information intended to assist members explore the feasibility and desirability of swing pricing (it is optional under the amendments), including audio recordings of relevant panels at ICI conferences, webinars, white papers, and related materials. The page also contains background information on the amendments with links to SEC releases, ICI comment letters, ICI memos, and other resources.

Joanne Kane

Director, Operations & Transfer Agency

Matthew Thornton

Assistant General Counsel

endnotes

[1] This resource page is available at www.ici.org/swing_pricing. See also ICI Memorandum No. 30477 (Dec. 13, 2016); and *Investment Company Swing Pricing*, SEC Release No. IC-32316 (Oct. 13, 2016), available at www.sec.gov/rules/final/2016/33-10234.pdf. For a detailed summary of the swing pricing amendments, see ICI Memorandum No. 30333 (Oct. 21, 2016).

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