

MEMO# 29163

July 14, 2015

Changes in Committee Leadership Effective July 15

[29163]

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 14-15 RE: CHANGES IN COMMITTEE
LEADERSHIP EFFECTIVE JULY 15

It is with mixed emotions that I am informing you of a change in the leadership of the CCO Committee that will take place next week. Bob Zakem, the Chair of the Committee, has informed me that he is leaving RidgeWorth to begin working with Deloitte in their Advisory Investment Management Regulatory and Compliance Practice. His last day with RidgeWorth is July 15th. Accordingly, effective that day, he has resigned as Chair of the Committee. He will, however, make plans to join us for our informal Committee dinner on December 16th in Washington, D.C. [Our next in-person Committee meeting will be held on December 17th, the day after the ICI's Securities Law Developments Conference.] Because he is joining Deloitte and will continue to work on industry issues, I'm sure our paths will continue to cross with Bob's!

While we will all miss Bob's leadership, I am pleased to inform you that Nancy Morris of Wellington, who has served as the Chair of the Advisory Issues Subcommittee since it was created, has agreed to serve as Chair of the full Committee for the remainder of Bob's term. In addition, Frank Knox of John Hancock has agreed to replace Nancy as Chair of the Subcommittee. As you may know, Frank has been a member of the Committee since its inception. I'm sure these Committees will remain in good hands under the leadership of Nancy and Frank. As noted above, each of these changes will be effective as of July 15th.

If you have any recommendations of how Nancy, Frank, or I can improve the value of the Committee to you, please don't hesitate to contact us. You can reach us as follows:

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