

MEMO# 32112

December 19, 2019

Register Now: January 15 In-Person Meeting of ICI's Registered Fund CPO Advisory Committee

[32112]

December 19, 2019 TO: Registered Fund CPO Advisory Committee RE: Register Now: January 15 In-Person Meeting of ICI's Registered Fund CPO Advisory Committee

ICI will hold an in-person meeting of the Registered Fund CPO Advisory Committee on Wednesday, January 15th. We are pleased to have several guest speakers. Josh Sterling, Director of the CFTC's Division of Swap Dealer and Intermediary Oversight, will join us to discuss DSIO priorities. Carol Wooding, General Counsel, and Regina Thoele, Senior Vice President of Compliance, will join us from the National Futures Association to discuss NFA priorities relevant to registered fund CPOs.

The meeting will take place at the Investment Company Institute, 1401 H Street, NW, Washington, DC, in the David Silver Conference Room on the 12th Floor, beginning at 10:30 am and ending no later than 2:30 pm Eastern time. In addition, participation by videoconference will be available from Nuveen's offices in Chicago:

Nuveen Investments
333 West Wacker Dr.
28th floor, Wright Conference Room
Chicago, IL 60606

Lunch will be served at both locations. If you plan to attend the meeting, please visit our registration site at www.ici.org/reg/cpo. Please include on the online form any particular topics you would like to discuss with other members at the meeting. We will circulate a draft agenda prior to the meeting date.

If you have questions, please contact nadia.ishmael@ici.org.

We look forward to seeing you at our January meeting!

Sarah A. Bessin
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Rachel H. Graham
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