

**MEMO# 23045**

November 4, 2008

## **Opportunity to Discuss AML Issues - Thursday, November 13**

[23045]

November 4, 2008

TO: AML COMPLIANCE WORKING GROUP No. 6-08    RE: OPPORTUNITY TO DISCUSS AML  
ISSUES - THURSDAY, NOVEMBER 13

The AML Compliance Working Group will have a conference call on Thursday, November 13th at 2:00 p.m. (EST) to discuss the following items:

1. Mutual fund experiences with performing due diligence on correspondent accounts;
2. Administration of AML training programs;
3. Screening of authorized signers; and
4. Mutual fund experiences with SAR filings.

Tom Bogle of Dechert LLP will provide counsel's perspective on these topics. We expect the call to last 90 minutes with substantial time for questions and input from conference call participants.

To join the call on November 13th, dial 1-888-282-1748 and enter passcode 7516467. If you intend to participate in the call, please RSVP to Ruth Tadesse at [rtadesse@ici.org](mailto:rtadesse@ici.org) before the call.

Eva M. Mykolenko

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.