

MEMO# 32130

January 8, 2020

Reminder - Register for January 15th ICI Registered Fund CPO Advisory Committee Meeting - Draft Agenda Attached

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January 8, 2020 TO: Registered Fund CPO Advisory Committee RE: Reminder - Register for January 15th ICI Registered Fund CPO Advisory Committee Meeting - Draft Agenda Attached

If you haven't already, register for ICI's in-person meeting of the Registered Fund CPO Advisory Committee on Wednesday, January 15th! A revised draft agenda for the meeting is attached.

We are pleased to have several guest speakers for this meeting. Josh Sterling, Director of the CFTC's Division of Swap Dealer and Intermediary Oversight, will join us to discuss DSIO priorities. Regina Thoele, Senior Vice President of Compliance, and Christine Roche, Associate Director of Compliance, will join us from the National Futures Association to discuss NFA priorities relevant to registered fund CPOs.

The meeting will take place at the Investment Company Institute, 1401 H Street, NW, Washington, DC, in the David Silver Conference Room on the 12th Floor, beginning at 10:30 am and ending no later than 2:30 pm Eastern time. In addition, participation by videoconference will be available from Nuveen's offices in Chicago:

Nuveen Investments
333 West Wacker Dr.
28th Floor, Wright Conference Room
Chicago, IL 60606

Lunch will be served at both locations. If you plan to attend the meeting, please visit our registration site at www.ici.org/reg/cpo. Please include on the online form any particular topics you would like to discuss with other members at the meeting, including any "shop talk" items you would like to raise for discussion with other members. We will circulate a final agenda and materials prior to the meeting.

Sarah A. Bessin

Associate General Counsel

Rachel H. Graham
Associate General Counsel

[Attachment](#)

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