

**MEMO# 26537**

September 28, 2012

## **SEC October 2 Market Technology Roundtable; Panelists Announced**

[26537]

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TO: EQUITY MARKETS ADVISORY COMMITTEE No. 24-12  
ETF (EXCHANGE-TRADED FUNDS) COMMITTEE No. 32-12  
ETF ADVISORY COMMITTEE No. 33-12  
SEC RULES MEMBERS No. 84-12  
CLOSED-END INVESTMENT COMPANY MEMBERS No. 60-12 RE: SEC OCTOBER 2 MARKET TECHNOLOGY ROUNDTABLE; PANELISTS ANNOUNCED

As we previously informed you, the SEC will hold a Market Technology Roundtable on October 2 (postponed from its original date of September 14) to discuss ways to promote stability in markets that rely on highly automated systems. [1] The SEC today announced the panelists who will participate on the roundtable; the panelists will focus their discussion on the relationship between the operational stability and integrity of the securities markets and the ways that market participants design, implement, and manage trading technologies. [2]

The roundtable will consist of two panels. The first panel will focus on error prevention and will discuss current best practices and practical constraints for creating, deploying, and operating automated systems. The second panel will focus on error response and will discuss how the markets might employ processes or procedures to detect, limit, and possibly terminate erroneous market activities when they do occur, thereby limiting the impact of such errors.

The roundtable discussion will be available via webcast on the SEC's website at [www.sec.gov](http://www.sec.gov). The SEC will accept comments regarding issues addressed at the roundtable until October 23.

Ari Burstein  
Senior Counsel - Securities Regulation

**endnotes**

[1] The revised notice of the roundtable can be found on the SEC's website at <http://www.sec.gov/rules/other/2012/34-67802.pdf>.

[2] The list of panelists for the roundtable can be found on the SEC's website at <http://www.sec.gov/news/press/2012/2012-202.htm>.

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