

MEMO# 31461

October 30, 2018

Richard Gabbert, Counsel to SEC Commissioner Hester Peirce - Additional Speaker for the Derivatives Markets Advisory Committee Meeting on Thursday, November 8

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October 30, 2018 TO: Derivatives Markets Advisory Committee RE: Richard Gabbert, Counsel to SEC Commissioner Hester Peirce - Additional Speaker for the Derivatives Markets Advisory Committee Meeting on Thursday, November 8

An in-person meeting of the Derivatives Markets Advisory Committee is scheduled for Thursday, November 8. The meeting will be held at the Investment Company Institute, 1401 H Street, NW, Washington, DC, in the Matthew P. Fink Conference Room, located on the 12th floor. The meeting will begin promptly at 11:00 am (Eastern Time) and should conclude no later than 3:30 pm. Lunch will be served, and a final agenda will be circulated closer to the meeting date.

Guest Speakers

We are pleased to announce that **Richard Gabbert, Counsel to Commissioner Hester Peirce, SEC**, will join the meeting in addition to the following guests:

- Anne Battle, Assistant General Counsel, International Swaps and Derivatives Association, Inc. (ISDA) (to discuss the US Resolution Stay Protocol and benchmark changes); and
- Bella Rozenberg, Senior Counsel, International Swaps and Derivatives Association, Inc. (ISDA) (to discuss Title VII harmonization).

Agenda

The draft agenda for the meeting is attached. Meeting materials and a final agenda will be circulated prior to the meeting and posted on the committee webpage.

Registration

To attend the Committee meeting, please register at www.ici.org/reg/dmac. When completing the online registration, please provide any suggested topics you would like to

discuss at the meeting.

If you have questions, please direct them to Brenda Kathurima at (202) 326-5818 or brenda.kathurima@ici.org, or to Ken Fang at (202) 371-5430 or kenneth.fang@ici.org.

We look forward to seeing you on November 8.

William Thum
Chairperson - Derivatives Markets Advisory Committee

Sarah A. Bessin
Associate General Counsel

Kenneth Fang
Assistant General Counsel

[Attachment](#)

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