

**MEMO# 24341**

June 1, 2010

## **Draft Agenda for June 17 SEC Rules Committee Meeting**

[24341]

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TO: SEC RULES COMMITTEE No. 21-10 RE: DRAFT AGENDA FOR JUNE 17 SEC RULES COMMITTEE MEETING

As we previously informed you, the SEC Rules Committee meeting has been scheduled for Thursday, June 17th. We are pleased to announce that Robert Kaplan and Bruce Karpati, Co-Chiefs of the Asset Management Unit, Division of Enforcement of the SEC, have agreed to speak at the meeting.

The meeting will take place at the Investment Company Institute, 1401 H Street, N.W., Washington, D.C. in the David Silver Conference Room on the 12th Floor, with videoconference options in San Mateo, CA and Chicago, IL at the following locations:

Franklin Templeton Investments

One Franklin Parkway

Building 920/2

San Mateo, CA

9:00 a.m. – 12:30 p.m. Pacific time UBS Global Asset Management

UBS Tower

1 North Wacker Drive, 38th Floor

Chicago, IL

11:00 a.m. – 2:30 p.m. Central time

Attached is a draft agenda for the meeting. A pdf version of the meeting materials will be sent to those who have registered for the meeting, and others upon request. If you plan to attend the meeting but have not registered yet, please visit our online registration site at <http://members.ici.org/reg/secrules>. If you are not attending the meeting and would like to receive the materials, please contact Gwen Kelly at 202/326-5818 or [gwen.kelly@ici.org](mailto:gwen.kelly@ici.org).

We look forward to seeing you on June 17th.

Frances M. Stadler

Deputy Senior Counsel

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