

MEMO# 29717

February 18, 2016

Next In-Person Meeting of ICI's CCO Committee and Subcommittee Will Be Held in Washington, D.C. on May 18

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TO:

CHIEF COMPLIANCE OFFICER COMMITTEE No. 4-16

RE:

NEXT IN-PERSON MEETING OF ICI'S CCO COMMITTEE AND SUBCOMMITTEE WILL BE HELD IN WASHINGTON, D.C. ON MAY 18

Registration link: http://www.ici.org/reg/cco

The next in-person meeting of ICI's Chief Compliance Officer Committee and Subcommittee will be held in Washington, D.C. on Wednesday, May 18, immediately preceding the start of the ICI's Compliance Programs Conference, which will be held on May 19-20. The Subcommittee will meet from 9:00-11:00 a.m., followed by a meeting of the full Committee from 11:00 a.m.-2:30 p.m.

While there is no charge to attend these meetings, we ask members to register no later than Wednesday, May 4 so we know how many attendees to expect. To register, please use the link above. When registering, please submit any issues/topics you'd like to have discussed at these meetings, as those issues will be the focus of the meetings' agenda.

The last hour of the CCO Committee meeting will consist of an informal dialogue with the staff of the SEC. I am extending invitations to the staff of OCIE, the Division of Investment Management, and Enforcement. I plan to send out an agenda in late April to committee members who RSVP for the meeting. The agenda will include the list of issues submitted by members as well as the names of the SEC staff persons who will be joining us for the last portion of the meeting.

I hope to see you in May! In the meantime, if I can be of any assistance to you, send me an email (tamara@ici.org) or give me a call (202-326-5825).

Tamara K. Salmon Associate General Counsel

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