

**MEMO# 30350**

October 26, 2016

# **Notice of Closed-End Investment Company Meeting and Dinner - Wednesday, November 2 2016; Draft Agenda Attached**

[30350]

October 26, 2016

TO: CLOSED-END INVESTMENT COMPANY COMMITTEE No. 21-16 RE: NOTICE OF CLOSED-END INVESTMENT COMPANY MEETING AND DINNER - WEDNESDAY, NOVEMBER 2 2016; DRAFT AGENDA ATTACHED

As you know, the next meeting of the Closed-End Investment Company Committee will take place Wednesday, November 2, 2016, at the offices of Virtus Investment Partners, Inc., 1540 Broadway, 16th Floor, New York, New York 10036. The meeting will begin at 2:00 p.m. and end no later than 5:00 p.m.

We are pleased to inform you that Jon Hertzke, Assistant Director, Risk and Examinations Office, Division of Investment Management, will join us to talk about the recent work his office has been doing. In addition, Jennifer Gonzalez and Kristina Zanotti from K&L Gates will join us to talk about the Department of Labor's fiduciary standards rule and its implications on closed-end funds.

## **Draft Agenda**

Attached is a draft agenda for the meeting. One item that we will discuss is the draft letter we previously circulated requesting that the NYSE exempt closed-end funds from its annual meeting requirements. During the meeting, we will seek a recommendation from the Committee on whether to proceed with filing the letter (latest draft attached).

If you have additional agenda items to suggest, please feel free to contact Ken Fang at (202) 371-5430 or [kenneth.fang@ici.org](mailto:kenneth.fang@ici.org). Meeting materials and a final agenda will be circulated via email on **Monday, October 31**.

## **Registration and Dinner**

If you have not done so, please register for the meeting by **October 31, 2016** at [www.ici.org/reg/closedend](http://www.ici.org/reg/closedend).

Members also are encouraged to attend the committee dinner after the meeting the evening of Wednesday, November 2. Dinner will begin at 6:00 p.m. EST. If you plan to attend, please register using the link above for the committee meeting. Dinner attendees will be charged \$105.00 to attend.

If you have questions about the meeting or dinner, please contact Kim Hair at (202) 326-5818 or [kim.hair@ici.org](mailto:kim.hair@ici.org).

We look forward to seeing you.

William Renahan  
Chairperson Kenneth C. Fang  
Assistant General Counsel  
[Attachment](#)

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