

MEMO# 31309

July 31, 2018

Mark Your Calendar - 2019 Broker-Dealer Advisory Committee Meeting Schedule

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July 31, 2018 TO: Broker/Dealer Advisory Committee RE: Mark Your Calendar - 2019 Broker-Dealer Advisory Committee Meeting Schedule

The Broker-Dealer Advisory Committee meeting schedule for 2019 has been finalized. Please mark your calendar for the following meeting dates:

January 30-31: Pershing, Lake Mary, FL April 24-25: Investment Company Institute, Washington, DC July 17-18: Ameriprise, Minneapolis, MN October 16-17: Investment Company Institute, Washington, DC

In July 2018, committee members agreed that meetings will occur for a full day on day 1, and until 12:00 noon local meeting time on day 2.

Further details regarding each meeting will be sent to you approximately 6 weeks prior to the scheduled meeting date, including any task force or working group meetings that may co-locate with the main committee meeting.

We look forward to meeting with you next year.

Stuart Bateman Chairman – Broker/Dealer Advisory Committee

Jeff Naylor Director, Operations and Distribution

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