MEMO# 26724

November 27, 2012

2013 SEC Rules Committee Meeting Schedule - Change of Date

[26724]

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TO: SEC RULES COMMITTEE No. 77-12 RE: 2013 SEC RULES COMMITTEE MEETING SCHEDULE - CHANGE OF DATE

As 2012 draws to a close, I wanted to provide you with the dates for the 2013 SEC Rules Committee meetings and ask that you please reserve them on your calendar. Please note that the February 2013 meeting date changed after we announced the 2013 dates at the October 2012 meeting.

Like past meetings, we will provide videoconference options for the February and June meetings. The October meeting will be a full day, in-person meeting.

Date: Hosted By: February 27, 2013 (videoconference) [*] ICI (Washington, DC) [12:00 pm - 3:30 pm]

UBS (Chicago, IL) [11:00 am - 2:30 pm]

Capital Research (Los Angeles, CA) [9:00 am - 12:30 pm] June 13, 2013 (videoconference) [*] ICI (Washington, DC) [12:00 pm - 3:30 pm]

Nuveen (Chicago, IL) [11:00 am - 2:30 pm]

Franklin Templeton (San Mateo, CA) [9:00 am - 12:30 pm] October 9-10, 2013 (in-person) ICI (Washington, DC) [9:00 am - 3:30 pm]

Note: committee dinner will be held the evening of Oct.9th from 6:30pm-9:30 pm. Location to be announced at a later date.

We will circulate a formal meeting notice approximately 5–6 weeks before each meeting. In addition, materials will be emailed to attendees prior to the meeting. Please contact me at (202) 326-5822 (frances@ici.org), or Pat Dickerson at (202) 326-5811(pdickerson@ici.org) if you have any questions.

Frances M. Stadler Senior Counsel - Securities Regulation

endnotes

[*] Lunch will be served before the videoconference in Washington, DC, during the videoconference in Chicago, and after the videoconference in Los Angeles and San Mateo.

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