

**MEMO# 29166**

July 10, 2015

## **In-Person Meeting for AML Compliance Working Group - Friday, September 11, 2015**

[29166]

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TO: AML COMPLIANCE WORKING GROUP No. 1-15  
TRANSFER AGENT ADVISORY COMMITTEE No. 35-15 RE: IN-PERSON MEETING FOR AML COMPLIANCE WORKING GROUP - FRIDAY, SEPTEMBER 11, 2015

The Investment Company Institute will host an in-person meeting of the AML Compliance Working Group on Friday, September 11, 2015 from 10 AM to 3:30 PM in Washington, D.C. The meeting will take place at the ICI, 1401 H Street N.W., Washington, D.C. 20005. Lunch will be served. An agenda will be sent prior to the meeting.

We are in the process of inviting various regulators to speak to the group about AML regulatory priorities and initiatives. In addition, we would like to use the meeting to address member issues and to continue to discuss member views on the most significant Bank Secrecy Act challenges for mutual funds as well as any recommendations for improving the efficiency and effectiveness of the BSA regulatory framework. We would also welcome any specific recommendations for topics that you would be interested in addressing during the meeting (depending on the number of topics provided, we may adjust the ending time of the meeting slightly, so please plan accordingly). We hope that you can join us.

Please register for the meeting online via Cvent at [www.ici.org/reg/aml](http://www.ici.org/reg/aml) as soon as possible. If you have questions about registration, please contact Kim Hair at 202-326-5818 or [kim.hair@ici.org](mailto:kim.hair@ici.org). Also, we are considering having a dinner for attendees arriving Thursday evening—if you would be interested in attending, please let Kim know. If you have any other questions or suggestions for the meeting, please feel free to contact me (202-371-5406 or [matt.thornton@ici.org](mailto:matt.thornton@ici.org)).

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