

**MEMO# 20775**

January 10, 2007

## **IRA Investment Advice Survey -- Response Requested by January 18**

[20775]

January 10, 2007

TO: PENSION COMMITTEE No. 1-07  
PENSION OPERATIONS ADVISORY COMMITTEE No. 1-07    RE: IRA INVESTMENT ADVICE  
SURVEY -- RESPONSE REQUESTED BY JANUARY 18

As you know, the Department of Labor has issued a Request for Information in order to fulfill its mandate under the Pension Protection Act to determine whether an investment advice computer model is feasible for IRAs. [\[1\]](#) The Institute is planning to submit a comment letter in response to the RFI.

To assist the Institute, we would like fund complexes with representatives on the Pension and Pension Operations Committees to complete the attached one-question survey. Please return the completed survey by fax by January 18, 2007, to Brenda Turner, at (202) 326-5841. If you have any questions or comments about the survey or the investment advice provision in general, or have suggestions on comments the Institute should make, please call Mary Podesta at (202) 326-5826 or Mike Hadley at (202) 326-5810.

Consistent with the Institute's Data Privacy Policy, [\[2\]](#) data collected from each individual firm will remain strictly confidential and only aggregate survey results will be shared. The ICI prepared this survey for informational purposes only. Institute members should act independently in making any decisions based on the survey results.

Your response to this short survey will be greatly appreciated.

Michael L. Hadley  
Assistant Counsel

[Attachment](#)

**endnotes**

[1] See [Memorandum](#) to Pension Committee No. 39-06 and Pension Operations Advisory Committee No. 37-06 [20655], dated December 6, 2006.

[2] See <http://members.ici.org/dataPrivacy.do>.

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