

**MEMO# 26067**

April 19, 2012

## **Conference Call to Discuss 408(b)(2) and Participant Disclosure Compliance - May 3, 2012 at 3:00 PM ET**

[26067]

April 19, 2012

TO: PENSION COMMITTEE No. 12-12  
PENSION OPERATIONS ADVISORY COMMITTEE No. 12-12 RE: CONFERENCE CALL TO DISCUSS 408(b)(2) AND PARTICIPANT DISCLOSURE COMPLIANCE - MAY 3, 2012 AT 3:00 PM ET

The Institute will host a conference call on Thursday, May 3, 2012 at 3:00 PM ET to discuss ongoing compliance issues related to the DOL regulations on service provider and participant-level disclosure – 29 C.F.R. sections 2550.408b-2 [\[1\]](#) and 2550.404a-5 [\[2\]](#) respectively. If you have any suggestions for issues to be discussed during the call regarding the participant disclosure regulation, please contact Elena Chism ([elena.chism@ici.org](mailto:elena.chism@ici.org) or 202-326-5821), and if you have any suggestions for issues to be discussed during the call regarding the 408(b)(2) regulation, please contact Howard Bard ([howard.bard@ici.org](mailto:howard.bard@ici.org) or 202-326-5810).

If you would like to participate in the call, please send an email to Brenda Turner ([bturner@ici.org](mailto:bturner@ici.org)) by noon on Wednesday, May 2, 2012.; To participate, please dial 800-857-5040 and enter passcode 43972.

Howard Bard  
Associate Counsel - Pension Regulation

### **endnotes**

[\[1\]](#) A copy of the final regulation can be found here: <http://www.dol.gov/ebsa/pdf/2012-02262-PI1.pdf>. For a description of the final regulation, see [Memorandum](#) to Pension Committee No. 6-12, Pension Operations Advisory Committee No. 6-12, Operations Committee No. 4-12, Bank Trust and Retirement Advisory Committee

No. 6-12, Broker/Dealer Advisory Committee No. 5-12, Transfer Agent Advisory Committee No. 7-12 [25875], dated February 7, 2012.

[2] A copy of the final regulation can be found here: <http://webapps.dol.gov/FederalRegister/PdfDisplay.aspx?DocId=24323>. For a description of the final regulation, see [Memorandum](#) to Pension Members No. 49-10, Transfer Agent Advisory Committee No. 76-10, Bank, Trust and Recordkeeper Advisory Committee No. 49-10, Broker/Dealer Advisory Committee No. 56-10, Operations Committee No. 37-10 [24702], dated November 11, 2010.

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