

MEMO# 32786

September 28, 2020

ICI Small Funds Regulatory Update, Tuesday, October 27, 2020 1:00 -2:30 p.m. ET

[32786]

September 28, 2020

TO: Small Funds Committee RE: ICI Small Funds Regulatory Update, Tuesday, October 27, 2020 1:00 -2:30 p.m. ET

The Investment Company Institute's Small Funds Committee will have a regulatory update call on Tuesday, October 27, 2020 1:00 -2:30 p.m. ET via Zoom.

Matt Thornton, Elena Barone Chism, and Shannon Salinas, ICI Assistant General Counsels will provide an update on current regulatory action. Focus will be on those topics prioritized by the committee in the recent poll, for your convenience to complete the poll <u>click here.</u>

Meeting Registration

To register for this meeting all committee members will receive an email with a registration link. We encourage committee members to attend using Zoom and voice over internet (VIOP). There is no charge to attend the Small Funds Committee meeting. Please note the only way to register for the webinar is via email. Login information will be provided via email upon completing registration.

If you have any questions about the registration, please contact Magen Dargon at (202) 326-5946 or magen.dargon@ici.org.

0&A

We would like your input prior to the event. Please submit questions at registration or email them to John Randall (john.randall@ici.org). As many of you know, the Small Funds Committee events are enhanced by your questions, during the event you will be able to submit questions via chat as well. If you have any questions regarding the meeting, you may contact John Randall at (202) 326-5930 or john.randall@ici.org.

Jane Carten Small Funds Committee Chair

John Randall Director, Operations and Distribution

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.