

MEMO# 27361

July 8, 2013

In-Person Meeting for AML Compliance Working Group - Tuesday, September 10, 2013

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TO: AML COMPLIANCE WORKING GROUP No. 1-13 RE: IN-PERSON MEETING FOR AML COMPLIANCE WORKING GROUP - TUESDAY, SEPTEMBER 10, 2013

The Investment Company Institute will host an in-person meeting of the AML Compliance Working Group on Tuesday, September 10, 2013 from 10 AM to 2:30 PM in Washington, D.C. The meeting will take place at the ICI, 1401 H Street N.W., Washington, D.C. 20005. Lunch will be served. An agenda will be sent prior to the meeting.

We are in the process of inviting various regulators to speak to the group about AML regulatory priorities and initiatives. In addition, we would like to use the meeting to address member issues and to continue to discuss member views on the most significant Bank Secrecy Act challenges for mutual funds as well as any recommendations for improving the efficiency and effectiveness of the BSA regulatory framework. We would also welcome any specific recommendations for topics that you would be interested in addressing during the meeting. We hope that you can join us.

Please register for the meeting online via Cvent at www.ici.org/reg/aml as soon as possible. If you have questions about registration, please contact Ruth Tadesse at 202/326-5836 or rtadesse@ici.org. If you have any other questions or suggestions for the meeting, please feel free to contact me (202-326-5813 or solson@ici.org) or Eva Mykolenko (202-326-5837 or emykolenko@ici.org).

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should not be considered a substitute for, legal advice.