

MEMO# 30610

March 1, 2017

Next In-Person Meeting of ICI's CCO Committee will be Held in Washington, DC on May 3

[30610] March 1, 2017 TO: Chief Compliance Officer Committee RE: Next In-Person Meeting of ICI's CCO Committee will be Held in Washington, DC on May 3

Registration link: www.ici.org/reg/cco

The next in-person meeting of ICI's Chief Compliance Officer ("CCO") Committee, its Advisory Issues Subcommittee Working Group, and the Compliance Advisory Committee will be held in Washington, D.C. on Wednesday, May 3, the day before the start of the ICI's Compliance Programs Conference. The joint meeting of the CCO Committee and the Compliance Advisory Committee will be held from 10:30 a.m. – 2:30 p.m. The meeting of the Advisory Issues Subcommittee Working Group will take place from 9:00 a.m. – 10:30 a.m.^[1] Please note that the last hour of the joint CCO Committee and Compliance Advisory meeting will consist of an informal dialogue with the staff of the SEC. As in previous years, we hope to have representatives from OCIE, the Division of Investment Management, and the Division of Enforcement.

While there is no charge to attend these meetings, we ask members to register for them no later than Friday, April 7 so we know how many attendees to expect. Only persons who register for the meeting will be permitted to attend. To register, please use the link above. When registering, please submit any issues/topics you'd like to have discussed at these meetings, as those issues will be the focus of the agenda for the joint CCO and Compliance Advisory Committee meeting. An agenda for the meeting, including the issues submitted by attendees, will be sent to persons who register for the meeting some time in mid to late April.

I hope to see you at the meeting in May! In the meantime, if I can be of any assistance to you, send me an email (tamara@ici.org) or give me a call (202-326-5825).

Tamara K. Salmon
Associate General Counsel

endnotes

[1] Please note that the Advisory Issues Subcommittee portion of the meeting is only open to those members of the Subcommittee who are serving on the Subcommittee's current project (*i.e.*, implementation of the SEC's Liquidity Rule) as the meeting will focus exclusively on that project.

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