

**MEMO# 25874**

February 7, 2012

## **Draft Agenda for February 16 SEC Rules Committee Meeting**

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TO: SEC RULES COMMITTEE No. 10-12 RE: DRAFT AGENDA FOR FEBRUARY 16 SEC RULES COMMITTEE MEETING

The first SEC Rules Committee meeting of 2012 has been scheduled for Thursday, February 16th. The meeting will take place at the Investment Company Institute (1401 H St., N.W., 12th Floor, Washington, D.C.) beginning at 12:00 pm (Eastern), with lunch available at 11:30 am. Participation by videoconference will be available at the following locations:

Capital Research & Management Company  
333 S. Hope Street, 33rd Floor  
Los Angeles, CA 90071  
9:00 am – 12:30 pm (Pacific) Nuveen Investments  
333 W. Wacker Drive, Suite 3300  
Chicago, IL 60606  
11:00 am – 2:30 pm (Central)  
Lunch will be provided following the meeting in Los Angeles and during the meeting in Chicago.

I am pleased to announce that our guest speaker will be Andrew “Drew” Bowden. In November 2011, Mr. Bowden was appointed as the Associate Director to lead the National Investment Adviser/Investment Company Examination Program in the SEC’s Office of Compliance Inspections and Examinations (OCIE). If there are any particular issues or questions you would like Mr. Bowden to address, please provide them to Pat Dickerson at [pdickerson@ici.org](mailto:pdickerson@ici.org).

Attached is the draft agenda. A pdf version of materials related to the agenda topics will be sent to those who have registered for the meeting and, upon request, to members unable to attend. Please note that hard copies of Committee meeting materials are no longer provided.

If you plan to attend the meeting but have not registered yet, please visit our registration site at: [www.ici.org/reg/sec](http://www.ici.org/reg/sec). If you are unable to attend the meeting and would like to receive the materials, please contact Pat Dickerson at [pdickerson@ici.org](mailto:pdickerson@ici.org), or (202)

326-5811.

We look forward to seeing you on February 16th.

Frances M. Stadler  
Senior Counsel - Securities Regulation

[Attachment](#)

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