

MEMO# 33109

February 17, 2021

Reminder: February 24th Virtual Meeting of SEC Rules Committee - Agenda Attached

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TO: SEC Rules Committee RE: Reminder: February 24th Virtual Meeting of SEC Rules Committee - Agenda Attached

On **Wednesday, February 24th, from 1:00-3:30 pm ET**, ICI will hold a virtual meeting of the SEC Rules Committee. An agenda for the meeting is attached. **We are pleased to have as guest speakers senior staff of the SEC's Division of Investment Management, including Brent Fields, Jennifer McHugh, David Bartels, Holly Hunter-Ceci, Joseph Toner, Kay Vobis, and Elena Stojic.**

If you plan to attend next week's meeting and have not yet registered, **please visit our registration site at <https://cvent.me/gRRben>**. Please include on the online registration form any topics you would like to discuss with other members at the meeting. Please also include any questions or topics you would like our guest speakers to address at the meeting.

We will have two virtual "breakout sessions" during this meeting, during which members can discuss a topic in-depth and report back to the full committee. **The breakout session topics will be (i) ESG investing; and (ii) fixed-income cross-trading under Rule 17a-7 under the 1940 Act.** Please ensure you select your preferred breakout session topic when you register.

We will send each member that has registered for the meeting emails including the Zoom participation information to participate in the main meeting and the breakout session. **Please note that you may receive different Zoom links/dial-in numbers for the main meeting and your selected breakout session.** You may participate in the meeting by video or by phone. We will include, with those emails, discussion questions for the breakout sessions. Those questions also will be included as part of the meeting materials, which will be circulated this week.

We request that you do not forward your calendar invitation for the meeting to others. Consistent with our guidelines, this meeting is limited to members of the SEC Rules

Committee. If you would like additional colleagues at your firm to participate in the meeting, please contact Monique Curtis at monique.curtis@ici.org and she will assist them in registering directly for the meeting. This will help us maintain the confidentiality of our meeting and allow us to monitor the number of attendees. We appreciate your cooperation.

If you have questions or need assistance registering for the meeting, please contact monique.curtis@ici.org or sarah.bessin@ici.org. We look forward to having you join us for our February meeting!

Sarah A. Bessin
Associate General Counsel

[Attachment](#)

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