

**MEMO# 28622**

December 29, 2014

# **Schedule of ICI CCO Committee and CCO Advisory Issues Subcommittee Calls and Meetings for 2015**

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 11-14  
CCO ADVISORY ISSUES SUBCOMMITTEE RE: SCHEDULE OF ICI CCO COMMITTEE AND CCO ADVISORY ISSUES SUBCOMMITTEE CALLS AND MEETINGS FOR 2015

Happy holidays to each of you! In anticipation of a new year beginning this week, I wanted to let you know that we have set the dates for the spring meeting and the two quarterly call of ICI's CCO Committee and Advisory Issues Subcommittee. These dates are set forth below. We do not yet know the date of our December meetings because the date of ICI's Securities Law Developments Conference has not yet been determined and, for the convenience of members, we like to schedule our meeting with that conference. As soon as I know the date for that meeting, I'll be sure to let you know. I hope you will go ahead and block these events on your calendar so you can plan to participate in them!

## **Quarterly Committee Calls**

The quarterly calls of the CCO Committee will be held:

Thursday, February 19th from 2-3:30 p.m. (Eastern)

Wednesday, September 23rd (the first day of autumn) from 2-3:30 p.m. (Eastern)

The quarterly calls of the Advisory Issues Subcommittee will be held one week after the above calls:

Thursday, February 26th from 2-3:30 p.m. (Eastern)

Wednesday, September 30th from 2-3:30 p.m. (Eastern)

As with our previous calls, members of the Committee will receive an email from me a couple of weeks in advance of the call reminding them of the upcoming call and soliciting

issues they would like to discuss during the call, as well as any regulatory updates they would like to receive during the call. To participate in the call and receive the call-in information, you will need to respond to that email.

### **In-Person Committee Meetings; 2015 Compliance Conference**

The in-person meetings of the CCO Committee and the Advisory Issues Subcommittee will be held on the same day – Wednesday, May 6th – in Washington, DC from 9 a.m.-2:30 p.m. [Eastern] at the Marriott Wardman Park Hotel. As is our practice, these meetings will be held in conjunction with the ICI's annual Compliance Programs Conference. A notice regarding these meetings, including a link to register to attend, will be sent out approximately 6 weeks in advance.

Please also note on your calendars that the Institute's 2015 Mutual Funds Compliance Programs Conference will be held on Thursday and Friday, May 7-8. The conference program is expected to be published shortly after the new year. I hope you will plan to join us for this event as we have designed the panels with the Committee's input in order to make sure the Conference topics address those issues of greatest interest to CCOs.

If you have any questions about the calls, meetings, Conference, or the schedule for 2015, please let me know! You can reach me by phone (202-326-5825) or email ([tamara@ici.org](mailto:tamara@ici.org)). I wish each of you all the best in 2015 and I look forward to working with you during the coming year!

Tamara K. Salmon  
Senior Associate Counsel

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