

MEMO# 27005

February 12, 2013

Draft Agenda and Guest Speakers for February 27 SEC Rules Committee Meeting

[27005]

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TO: SEC RULES COMMITTEE No. 10-13 RE: DRAFT AGENDA AND GUEST SPEAKERS FOR
FEBRUARY 27 SEC RULES COMMITTEE MEETING

The first SEC Rules Committee meeting of 2013 has been scheduled for Wednesday, February 27th. The meeting will take place at the Investment Company Institute (1401 H St., N.W., 12th Floor, Washington, D.C.) beginning at 12:00 pm (Eastern), with lunch available at 11:30 am. Participation by videoconference will be available at the following locations:

UBS
UBS Tower
1 North Wacker Drive, 38th Floor
Chicago, IL 60606
11:00 a.m. – 2:30 p.m. (Central)
Lunch will be served during the meeting

Capital Research
333 S. Hope Street, 33rd Floor
Los Angeles, CA 90071
9:00 a.m. – 12:30 p.m. (Pacific)
Lunch will be served following the meeting

We are pleased to announce our two guest speakers: Norm Champ, Director of the SEC's Division of Investment Management, and Dalia Blass, Assistant Director in the Division of Investment Management's Office of Investment Company Regulation. Ms. Blass will provide an update and seek feedback on the exemptive application process. Please provide any questions you would like Mr. Champ or Ms. Blass to address to Pat Dickerson at pdickerson@ici.org.

Attached is the draft agenda. A pdf version of materials related to the agenda topics will be sent to those who have registered for the meeting and, upon request, to members unable to attend. Please note that hard copies of meeting materials are no longer provided.

If you plan to attend the meeting but have not registered yet, please visit our registration site at www.ici.org/reg/sec. If you are unable to attend the meeting and would like to receive the materials, please contact Pat Dickerson at pdickerson@ici.org, or (202) 326-5811.

We look forward to seeing you on February 27th.

John M. Zerr
Chairperson

Frances M. Stadler
Senior Counsel - Securities Regulation

[Attachment](#)

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