

MEMO# 28984

May 14, 2015

Draft Agenda for June 17th SEC Rules Committee Meeting

[28984]

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TO:

SEC RULES COMMITTEE No. 11-15

RE:

DRAFT AGENDA FOR JUNE 17th SEC RULES COMMITTEE MEETING

As you know, the next meeting of the SEC Rules Committee has been scheduled for Wednesday, June 17th. We are pleased to announce that we have several guest speakers from the Securities and Exchange Commission for this meeting. We will be joined by Diane Blizzard, Associate Director, and Aaron Schlaphoff, Attorney Fellow, from the Division of Investment Management (IM), who will discuss IM rulemaking initiatives on derivatives, liquidity management, and fund reporting. We also will be joined by Jennifer Marietta-Westberg, Deputy Director & Deputy Chief Economist, and Christof Stahel, Assistant Director, from the Division of Economic and Risk Analysis (DERA), who will discuss DERA's activities related to registered funds and financial stability.

The meeting will take place at the Investment Company Institute, 1401 H Street, N.W., Washington, D.C., in the David Silver Conference Room on the 12th Floor, 12:00 noon – 3:30 pm Eastern time. Lunch will be available at 11:30 am. In addition, participation by videoconference will be available from two off-site locations:

Franklin Templeton Investments
One Franklin Parkway
Building 920, 1st floor
San Mateo, CA 94403
9:00 am – 12:30 pm (Pacific)

Nuveen Investments
333 West Wacker Dr.
29th Floor, Lake Conference Room

Chicago, IL 60606
11:00 am - 2:30 pm (Central)

Lunch will be served following the meeting in San Mateo, and during the meeting in Chicago.

Attached is a draft agenda for the meeting. A .pdf version of materials related to the agenda topics will be sent to those who have registered for the meeting and others upon request. If you plan to attend the meeting but have not registered yet, please visit our registration site at www.ici.org/reg/sec. Please include on the online form any particular topics you would like to discuss with other members at the meeting. If you are not attending the meeting and would like to receive the materials please contact Helenia Walker at helenia.walker@ici.org.

We look forward to seeing you on June 17th.

Sarah A. Bessin
Associate General Counsel

John M. Zerr
Chair

[Attachment](#)

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