

MEMO# 31008

January 4, 2018

Dates to Save for ICI CCO Committee Events in 2018

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January 4, 2018 TO: Chief Compliance Officer Committee RE: Dates to Save for ICI CCO Committee Events in 2018

As you know, each year ICI's CCO Committee meets in person twice a year and we hold two quarterly calls of the Committee. While we are in the process of setting the dates for our fall/winter Committee call and meeting, we have set the dates for the first quarterly call and in-person meeting. They are as follows:

QUARTERLY CALL: The next quarterly call of the Committee will be held on **Wednesday, February 28th from 2-3:30 p.m. [Eastern]**. In early February I will send an email reminder about the call, ask participants to RSVP for it, and request any items you would like me to include on the agenda.

IN-PERSON MEETING: Our next in-person CCO meeting will be held in Washington, DC on Tuesday, **May 22nd**, the day before ICI's 2018 Compliance Conference. In advance of the meeting, I will send an email to the Committee with registration information. While the meeting is free to attend, all attendees must register so we have an accurate head count.

Also please note that ICI's 2018 Compliance Programs Conference will be held in Washington, DC **May 23-24**. Based on members' input at our December meeting, the Conference will include panels discussing: EU/UK issues impacting US members (e.g., GDPR, MiFID II, Brexit); the new "art" of data science and its increasing importance to the SEC and consequently the industry; and vendor oversight challenges and how members are addressing them. The Conference will also include our standard panels focused on regulatory updates (including what's new at OCIE and in Enforcement), subadvisory issues, and the Lightning Round.

Please save these dates on your 2018 calendar and plan to join us for these events! If you have any questions, please let us know.

Jennifer Odom

Legal Assistant

Tamara K. Salmon
Associate General Counsel

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