

MEMO# 30195

September 1, 2016

September 14 Equity Markets Advisory Committee Meeting & September 13 Committee Dinner; Draft Agenda and Guest Speakers

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TO: EQUITY MARKETS ADVISORY COMMITTEE No. 30-16 RE: SEPTEMBER 14 EQUITY MARKETS ADVISORY COMMITTEE MEETING & SEPTEMBER 13 COMMITTEE DINNER; DRAFT AGENDA AND GUEST SPEAKERS

The fall meeting of the Equity Markets Advisory Committee has been scheduled for Wednesday, September 14, 2016. The meeting will be held at the Investment Company Institute in Washington, DC, Conference Room 12AB. The meeting will begin promptly at 9:00 a.m. Eastern time and should conclude no later than 1:00 p.m. Breakfast (beginning at 8:30 a.m.) and lunch will be served. Please be aware that there will be no video conference or conference call option for this meeting.

Guest Speakers

We are pleased to have the following guest speakers joining us:

- David Shillman, Associate Director, Division of Trading and Markets, SEC (to discuss transparency of market data fees);
- Stacey Cunningham, Chief Operating Officer, NYSE (to discuss regulatory and other changes after the market volatility of August 24); and
- Georgia Bullitt and Henrietta de Salis, Willkie Farr & Gallagher (to discuss implications for global firms of the EU's Market Abuse Regulation).

Draft Agenda

A draft agenda for the meeting is attached. If you have agenda items to suggest, please contact Jennifer Choi at (202) 362-5876 or jennifer.choi@ici.org. Meeting materials and a final agenda will be circulated via email on Monday, September 12.

Registration for Committee Meeting and Dinner

To register for the meeting and/or dinner, please visit our registration site at www.ici.org/reg/emac.

Members also are encouraged to attend the committee dinner the evening before the meeting beginning at 6:00 p.m. ET. The dinner will be held at Siroc Restaurant (915 15th Street NW, Washington, DC 20005). If you plan to attend, please register using the link above for the committee meeting. Dinner attendees will be charged \$105.00. Please note that if you cancel within 72 hours of the dinner, your payment will not be refunded.

If you have questions, please direct them to Kim Hair, Legal Assistant, at (202) 326-5818 or kim.hair@ici.org, or to Jennifer S. Choi at (202) 362-5876 or jennifer.choi@ici.org. We look forward to seeing you.

Matt D. Lyons Chairperson Jennifer S. Choi Associate General Counsel Attachment

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