

MEMO# 33046

January 15, 2021

February 1 Call: Focus on ESG, New Administration Priorities and other Member-Provided Agenda Items

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January 15, 2021 TO: Disclosure Working Group RE: February 1 Call: Focus on ESG, New Administration Priorities and other Member-Provided Agenda Items

We will be having our next working group call on Monday, February 1 at 3:00 pm. We will be updating the calendar invitation with the dial in information a few days prior to the call.

To facilitate discussion on our upcoming call, please submit any proposed agenda items (e.g., COVID-19 related disclosure comments) to Ken Fang at kenneth.fang@ici.org. We expect ESG-related disclosure to be an important priority for a new administration and so will be discussing this on the call too. Given that there will be a new Chair at the SEC and a new Division of Investment Management Director, we would like to discuss any areas of concern regarding disclosure that you would like ICI staff to raise with the staff. Please keep in mind that we will be meeting with IM staff and the Commissioners on our comment letter responding to the SEC's recent disclosure reform proposal, which identified a number of concerns.

Ken will send an agenda to the working group a few days in advance of the call. As on prior calls, the member who suggested the agenda item should introduce it to participants for discussion. If, for some reason, you do not want to raise the issue, please let us know and we can do so on your behalf.

Dorothy M. Donohue Deputy General Counsel - Securities Regulation

Kenneth Fang Associate General Counsel should not be considered a substitute for, legal advice.