

MEMO# 27489

August 26, 2013

Notice of SEC Rules Committee Meeting and Dinner on October 16-17, 2013

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TO: SEC RULES COMMITTEE No. 32-13 RE: NOTICE OF SEC RULES COMMITTEE MEETING
AND DINNER ON OCTOBER 16 -17, 2013

The in-person meeting of the SEC Rules Committee has been scheduled for Thursday, October 17th with a dinner on October 16th. The meeting will be held at the offices of the Investment Company Institute, 1401 H St., N.W., 12th Floor, Washington, D.C., in the David Silver Conference Room. As in past October meetings, we are planning a full-day program beginning at 9:00 a.m. EST and ending by 3:30 p.m. EST.

We are pleased to announce our two outside speakers for the meeting: Andrew Bowden, Director, Office of Compliance Inspections and Examinations; and Patricia Cushing, Director of Compliance, National Futures Association. A draft meeting agenda will be circulated prior to the meeting date.

Committee Dinner

A cocktail reception and dinner will be held the evening of Wednesday, October 16th at Acadiana, 901 New York Avenue, N.W., Washington, D.C. The reception will begin at 6:30 p.m., followed by dinner at 7:15 p.m. If you plan to attend, please register as directed below. Dinner attendees will be charged \$98.00. Please note that if you cancel within 72 hours of the dinner, your payment will not be refunded.

Meeting Registration

Please register for the meeting at www.ici.org/reg/sec. In completing the online registration, please provide any suggested topics for discussion at the meeting. To assist with our planning, we request that you register by September 30th. If you have questions about meeting logistics, please contact Pat Dickerson at (202) 326-5811 or pdickerson@ici.org.

Hotel Accommodations

We have reserved a block of rooms at the Hamilton Crowne Plaza, 1001 14th St., N.W., Washington, D.C. for the evening of October 16th at a rate of \$289.00 per night. To make reservations please contact the hotel directly at (877) 270-1393 or online at Investment

[Company Institute: October 16th](#). When making your reservation, please mention ICI or Investment Company Institute to receive the discounted rate. Due to the limited number of rooms, we urge you to make your reservation as soon as possible. Reservations must be guaranteed by September 30th. Cancellations must be made at least 72 hours in advance to avoid penalty.

We look forward to seeing you on October 16th and 17th.

John M. Zerr
Chairman Frances M. Stadler
Deputy Senior Counsel

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