

MEMO# 23840

October 2, 2009

November Committee Meeting Postponed Until January 2010

[23840]

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 13-09 RE: NOVEMBER COMMITTEE
MEETING POSTPONED UNTIL JANUARY 2010

In August, the Institute announced that the next scheduled meeting of the CCO Committee would be held on November 18th, the day following the SEC's National CCO Outreach Program.* The SEC recently announced that it has postponed its next national program until January 2010. The new date has not yet been announced. To avoid members having to make two trips to Washington, DC in a relatively short period of time, and to continue with our tradition of scheduling the Committee meeting to coincide with the SEC's program, we have decided to postpone the Committee meeting until January 2010. We regret any inconvenience this may have caused you.

As soon as the SEC sets a new date for their program, we will reschedule the Committee meeting. In the meantime, if I can be of any assistance to you on compliance or other issues, please let me know. I can be reached by phone (202-326-5825) or email (tamara@ici.org). For planning purposes, please note that the ICI's next Mutual Fund Compliance Program Conference will still be held May 6-7 in Washington, D.C.

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