

MEMO# 27829

January 13, 2014

Schedule of ICI CCO Committee and Subcommittee Calls and Meetings for 2014

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 1-14
CCO ADVISORY ISSUES SUBCOMMITTEE RE: SCHEDULE OF ICI CCO COMMITTEE AND SUBCOMMITTEE CALLS AND MEETINGS FOR 2014

Before we get too far into 2014, I wanted to let you know the dates of the quarterly calls of ICI's CCO Committee and its Advisory Issues Subcommittee, as well as the dates for our in-person meetings this year. I hope you will go ahead and block these events on your calendar so you can plan to participate in them!

Quarterly Call Schedule:

Full Committee: Thursday, March 27th from 2:00-3:30 p.m. (Eastern)

Thursday, September 11th from 2:00-3:30 p.m. (Eastern) Advisory Issues Subcommittee:

Thursday, April 3rd from 2:00-3:30 p.m. (Eastern)

Thursday, September 18th from 2:00-3:30 p.m. (Eastern)

As with our previous calls, members of the Committee will receive an email from me a couple of weeks in advance of the call reminding them of the upcoming call and soliciting issues they would like to discuss during the call, as well as any regulatory updates they would like to receive.

In-Person Committee Meetings (Washington, D.C.)

The May and December meetings will be held in conjunction with the ICI's Compliance Programs Conference (May 21-23) and the Law Developments Conference (December 10), respectively, in Washington, D.C. A meeting notice containing registration information will be sent out approximately one month in advance of each meeting. The schedule for these meetings is as follows:

May Meetings - Tuesday, May 20th: Subcommittee: 9:00-11:30 a.m. (followed by lunch)

Full Committee: 12:00-2:30 p.m.

December Meetings - Thursday, December 11th:

Full Committee: 9:00 a.m.-2:00 p.m. (with lunch)

Subcommittee: 2:00-5:00 p.m.

Due to limited space for the December meeting, attendance will be on a first-come, first-serve basis (with no substitutes permitted). Also, we plan to hold an informal Committee dinner the evening of December 10th. Information to register for this dinner will be included in the meeting registration notice sent out in November.

If you have any questions about the calls, meetings, or the schedule for 2014, please let me know! You can reach me by phone (202-326-5825) or email (tamara@ici.org).

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