

**MEMO# 21628**

September 21, 2007

## **Fall 2007 CCO Committee Meetings Notice; RSVP By Oct. 5th**

[21628]

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TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 20-07 RE: FALL 2007 CCO COMMITTEE  
MEETINGS NOTICE; RSVP BY OCT. 5TH

The Institute has begun planning the regional meetings of the CCO Committee that will be held this fall. Members are welcome to attend any of the meetings. The agendas for the meetings are expected to be largely identical. However, to ensure that the meetings are valuable to attendees, we are asking each attendee to provide on the Meeting Response Form at least one issue you would like to have discussed at the meeting. In addition, if there are any updates or issues you would like to have the ICI staff address at the meeting, please provide those as well. Once we have gathered this information from attendees, we will circulate a draft meeting agenda.

To assist us in our planning efforts, please return the attached Meeting Response Form by Friday, October 5th. Completed forms should be faxed to Tami Salmon at 202-326-5839.

The meetings will begin at 10:00 a.m. local time and last until approximately 2:00 p.m. Lunch will be provided. [\[1\]](#) The dates and locations of the meetings are as follows:

Region	Date	Location
West Coast Investments	Tues., Oct. 30th	Franklin Templeton  Executive Board Room  Building 920, 4th Floor  One Franklin Parkway

San Mateo, CA

East Coast [\[2\]](#)

Thurs., Nov. 15th

Investment Company Institute

1401 H Street, NW, 12th Floor

Washington, DC

Midwest  
Club

Tues., Nov. 27th

Ariel Capital Management/Mid-America

200 East Randolph Drive, 80th Floor

Chicago, IL

If you have any questions about the upcoming meetings, please contact me by phone at (202) 326-5825 or email ([tamara@ici.org](mailto:tamara@ici.org)).

Tamara K. Salmon  
Senior Associate Counsel

[Attachment](#)

#### **endnotes**

[\[1\]](#) If requested by attendees, the Institute will hold a break out session for small funds following the formal committee meeting to provide CCOs of small fund companies an opportunity to discuss their unique compliance concerns.

[\[2\]](#) The East Coast meeting will be held the day after the SEC's next National CCO Outreach Seminar, which will be held in Washington, DC on Wednesday, November 14th. The National Seminar will be webcast. More information about the SEC's program is available at: <http://www.sec.gov/info/ccoutreach.htm>.

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